

District Registry: New South Wales

Division: Commercial and Corporations

**Societe Generale (ABN 71 092 516 286)**

Applicant

**Forum Finance Pty Limited (ACN 153 301 172) (In Liquidation) & Ors**

Respondents

**Exhibit ~~10~~ – Documents tendered by Societe Generale (Part C of the Court Book)**

<b>Court Book Tab No.</b>	<b>Document</b>	<b>Date</b>	<b>Evidentiary reference</b>	<b>Court Book Page No.</b>
<b>Pre-transactions</b>				
C1	Audited financial statement of Forum Holding Company and its controlled entities for the year ended 30 June 2020	14 October 2020	Ex GT-27 to Thong 1	CB0112 - CB0148
C2	EQWE Specific Servicing Guidelines entered into between Societe Generale, Sydney Branch ( <b>SG</b> ) and EQWE Pty Limited ( <b>EQWE</b> )	2 November 2020	Ex GT-5 to Thong 1	CB0149 - CB0183
C3	Receivables Acquisition and Servicing Agreement between Forum Finance Pty Limited ( <b>FF</b> ), EQWE and SG ( <b>Master Agreement</b> )	6 November 2020	Ex GT-6 to Thong 1	CB0184 - CB0249
C4	Release and PPSA Undertaking to amend registration between Westlawn Finance Ltd and Forum Finance	20 November 2020	Ex GT-31 to Thong 1	CB0250
C5	Invoice issued by Ashurst to SG in relation to the Master Agreement	24 November 2020	Ex GT-44 to Thong 2	CB0251 - CB0252
C6	Release and PPSA Undertaking to amend registration between Octet Finance Pty Ltd and FF	30 November 2020	Ex GT-30 to Thong 1	CB0253 - CB0254

Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
C7	Invoice issued by Dentons to SG	22 December 2020	Ex GT-44 to Thong 2	CB0255 - CB0257
<b>First transaction</b>				
C8	Payment Schedule between FF and Veolia Environmental Services (Australia) Pty Ltd ( <b>Veolia</b> ) ( <b>First Payment Schedule</b> )  [attached to emails in tabs C11, C14, C15 and C26]	1 March 2021	Ex GT-7, GT-10 and GT-10A to Thong 1  Ex GT-39 and GT-41 to Thong 2  Ex ND-1 to Dumont  Ex GB-1 to Brar	CB0258 - CB0263
C9	Certificate of Acceptance of Delivery between FF and Veolia ( <b>First Certificate of Acceptance of Delivery</b> )  [attached to emails in tabs C11, C14 and C15]	1 March 2021	Ex GT-7, GT-10 and GT-10A to Thong 1  Ex GT-39 to Thong 2  Ex GB-4 to Brar  Ex ND-1 to Dumont	CB0264
C10	Offer Notice from FF to SG ( <b>First Offer Notice</b> )  [attached to emails in tabs C13, C14 and C15]	2 March 2021	Ex GT-7 and GT-10A to Thong 1  Ex GT-39 in Thong 2  Ex ND-1 to Dumont	CB0265 - CB0269
C11	Email from Eloise Orlandini (FF) to Katrina Constable (EQWE) copying Luke Price (EQWE) and Bill Papas titled "FW: Message from KMBT_C454e" and attaching:  the First Payment Schedule [C8]; and	2 March 2021 10.07am	Ex GT-10A to Thong 1	CB0270

Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
	the First Certificate of Acceptance of Delivery [C9]			
C12	Email from Luke Price (EQWE) to Eloise Orlandini (FF) and Katrina Constable (EQWE) copying Bill Papas titled "RE: Veolia March Delivery"  [replying to email in tab C11]	3 March 2021 9.51am	Ex GT-10A to Thong 1	CB0271
C13 C13_O	Email from Eloise Orlandini (FF) to Luke Price (EQWE) and Katrina Constable (EQWE) copying Bill Papas titled "RE: Veolia March Delivery" and attaching:  the First Offer Notice [C10]  [replying to email in tab C12]	3 March 2021 2.14pm	Ex GT-10A to Thong 1	CB0272 CB0272_O
C14	Email from Katrina Constable (EQWE) to Gregory Thong (SG), copying Luke Price (EQWE) and Jamie Carracher (EQWE) titled "RE: Veolia" and attaching, among other things:  the First Offer Notice [C10];  the First Payment Schedule [C8]; and  the First Certificate of Acceptance of Delivery [C9]	3 March 2021 3.33pm	Ex GT-7 to Thong 1  Ex GT-39 to Thong 2	CB0273
C15 C15_O	Email from Gregory Thong (SG) to Katrina Constable (EQWE) and Luke Price (EQWE) copying Patrick Mabile (SG), Nicolas Dumont (SG), Alexis Kaminski (SG), Max-Pol Haein (SG), Keiran McPhail (SG) and Preeti Lo (SG) titled "FW: Veolia" and attaching, among other things:  the First Offer Notice [C10];  the First Payment Schedule [C8]; and  the First Certificate of Acceptance of Delivery [C9].	3 March 2021 3.45pm  [Note: Ex GT-39 displays this in a different time zone as 1.45pm.]	Ex GT-39 to Thong 2	CB0274 CB0274_O



Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
	[replying to email in tab C14]			
C16	<p>Notice of Assignment from FF to Veolia (<b>First Notice of Assignment</b>)</p> <p>[attached to emails in tabs C25, C26 and C27]</p>	8 March 2021	<p>Ex GT-10 and GT-10A to Thong 1</p> <p>Ex GT-41 to Thong 2</p> <p>Ex GB-1 to Brar</p> <p>Ex ND-1 to Dumont</p>	CB0275 - CB0276
C17	<p>PPSR Verification Statement regarding registration of security interest by FF (as the secured party) against Veolia (as the grantor) in favour of Forum Finance (<b>First Verification Statement</b>)</p> <p>[attached to email in tabs C20 and C21]</p>	9 March 2021	<p>Ex GT-9 to Thong 1</p> <p>Ex GT-40 to Thong 2</p> <p>Ex ND-1 to Dumont</p>	CB0277 - CB0278
C18	Email from SG to CBA titled "Outgoing fund - J7033 - 09 Mar 2021 - AUD4,128,757.00"	9 March 2021 2.23pm	Ex GT-8 to Thong 1	CB0279 - CB0281
C19	<p>Email from CBA to SG titled "RE: Outgoing fund - J7033 - 09 Mar 2021 - AUD4,128,757.00"</p> <p>[replying to email in tab C18]</p>	9 March 2021 2.42pm	Ex GT-8 to Thong 1	CB0282
C20	<p>Email from Katrina Constable (EQWE) to Gregory Thong (SG) copying Luke Price (EQWE) and Keiran McPhail (SG) titled "RE: PPSR" and attaching:</p> <p>First Verification Statement [C17]</p>	9 March 2021 2.45pm	<p>Ex GT-9 to Thong 1</p> <p>Ex GT-40 to Thong 2</p>	CB0283
C21 C21_O	<p>Email from Gregory Thong (SG) to Nicolas Dumont (SG) and Patrick Mabile (SG) copying Keiran McPhail (SG) titled "FW:PPSR = ORCA / Veolia" and attaching:</p> <p>First Verification Statement [C17]</p> <p>[forwarding email in tab C20]</p>	<p>9 March 2021 2.52pm</p> <p>[Note: Ex GT-40 displays this in a different time zone as 12.52pm.]</p>	Ex GT-40 to Thong 2	<p>CB0284</p> <p>CB0284_O</p>



Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
C22	Email from Gregory Thong (SG) to Katrina Constable (EQWE) and copying Luke Price (EQWE) titled "FW: Outgoing fund – J7033 – 09 Mar 2021 – AUD4,128,757.00"  [forwarding email in tab C19]	10 March 2021 11.54am	Ex GT-8 to Thong 1	CB0285
C23	Email from Katrina Constable (EQWE) to Gregory Thong (SG) copying Luke Price (EQWE) titled "RE: Outgoing fund – J7033 – 09 Mar 2021 – AUD4,128,757.00"  [replying to email in tab C22]	10 March 2021 12.07pm	Ex GT-8 to Thong 1	CB0286
C24	Email from Gregory Thong (SG) to Katrina Constable (EQWE) copying Luke Price (EQWE) titled "RE: Outgoing fund – J7033 – 09 Mar 2021 – AUD4,128,757.00"  [replying to email in tab C23]	10 March 2021 12.28pm	Ex GT-8 to Thong 1	CB0287
C25 C25_O	Email from Bill Papas to Katrina Constable (EQWE) copying Luke Price (EQWE) titled "FW: Notice of Assignment" and attaching:  First Notice of Assignment [C16]	11 March 2021 6.50pm	Ex GT-10A to Thong 1	CB0288 CB0288_O
C26	Email from Katrina Constable (EQWE) to Gregory Thong (SG) copying Luke Price (EQWE) titled "RE: Notice of Assignment – Veolia & Forum" and attaching:  First Notice of Assignment [C16]  the First Payment Schedule [C8]	12 March 2021 7.58am	Ex GT-10 to Thong 1  Ex GT-41 to Thong 2	CB0289
C27 C27_O	Email from Gregory Thong (SG) to Nicolas Dumont (SG), Adarsha Bhimraj (SG), Keiran McPhail (SG) and Preeti Lo (SG) copying Patrick Mabile (SG), Alexis Kaminski (SG) and Max-Pol Haein (SG) titled "FW: Notice of Assignment – Veolia & Forum" and attaching:  First Notice of Assignment [C16]  the First Payment Schedule [C8]	12 March 2021 8.27am  [Note: Ex GT-41 displays this in a different time zone as 6.27am.]	Ex GT-41 to Thong 2	CB0290 CB0290_O

Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
	[forwarding email in tab C26]			
<b>Second transaction</b>				
C28	Payment Schedule between FF and Veolia ( <b>Second Payment Schedule</b> )  [attached to emails in tabs C30, C34, C35 and C42]	28 April 2021	Ex GT-11, GT-14 and GT-14A to Thong 1  Ex ND-2 to Dumont  Ex GT-42 to Thong 2  Ex GB-2 to Brar	CB0291 - CB0294
C29	Certificate of Acceptance of Delivery ( <b>Second Certificate of Acceptance of Delivery</b> )  [attached to emails in tabs C30, C34, C35 and C42]	28 April 2021	Ex GT-11 and GT-14A to Thong 1  Ex GT-42 to Thong 2  Ex GB-5 to Brar  Ex ND-2 to Dumont	CB0295
C30 C30_O	Email from Eloise Orlandini (FF) to Katrina Constable (EQWE) copying Luke Price (EQWE) and Bill Papas titled "Veolia payment schedules" and attaching:  the Second Payment Schedule [C28]; and  the Second Certificate of Acceptance of Delivery [C29]	30 April 2021 10.47am	Ex GT-14A to Thong 1	CB0296 CB0296_O
C31	Offer Notice from FF to Societe Generale ( <b>Second Offer Notice</b> )  [attached to email in tabs C33, C34 and C35]	3 May 2021	Ex GT-11 to Thong 1  Ex GT-42 to Thong 2  Ex ND-2 to Dumont	CB0297 - CB0301
C32	Email from Katrina Constable (EQWE) to Eloise Orlandini (FF)	3 May 2021 3.40pm	Ex GT-14A to Thong 1	CB0302

Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
	and Bill Papas copying Luke Price (EQWE) titled "RE: Veolia #64"			
C33 C33_O	Email from Eloise Orlandini (FF) to Katrina Constable (EQWE) copying Luke Price (EQWE) and Bill Papas titled "RE: Veolia #64" and attaching:  Second Offer Notice [C31]  [replying to email in tab C32]	3 May 2021 at 5.36pm	Ex GT-14A to Thong 1	CB0303  CB0303_O
C34	Email from Katrina Constable (EQWE) to Gregory Thong (SG) copying in Luke Price (EQWE) titled "RE: Veolia 2nd drawdown" and attaching, among other things:  Second Offer Notice [C31];  Second Payment Schedule [C28]; and  Second Certificate of Acceptance of Delivery [C29]	3 May 2021 5.53pm	Ex GT-11 and GT-13 to Thong 1  Ex GT-42 to Thong 2	CB0304
C35 C35_O	Email from Gregory Thong (SG) to Katrina Constable (EQWE) copying Luke Price (EQWE), Nicolas Dumont (SG) and Alexis Kaminski (SG) titled "FW: Veolia 2nd drawdown" and attaching:  Second Offer Notice [C31];  Second Payment Schedule [C28]; and  Second Certificate of Acceptance of Delivery [C29]  [replying to email in tab C34]	3 May 2021 6.01pm  [Note: Ex GT-13 displays this in a different time zone as 4.01pm. Ex GT-42 displays this in a different time zone as 5.01pm.]	Ex GT-13 to Thong 1  Ex GT-42 to Thong 2	CB0305  CB0305_O
C36	PPSR Verification Statement regarding registration of security interest by FF (as the secured party) against Veolia (as the grantor) in favour of FF ( <b>Second Verification Statement</b> )	5 May 2021	Ex GT-13 to Thong 1  Ex ND-2 to Dumont	CB0306 - CB0307



Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
	[attached to email in tab C39]			
C37	<p>Notice of Assignment from FF to Veolia (<b>Second Notice of Assignment</b>)</p> <p>[attached to emails in tab C41 and C42]</p>	6 May 2021	<p>Ex GT-14 and GT-14A to Thong 1</p> <p>Ex GB-2 to Brar</p> <p>Ex ND-2 to Dumont</p>	CB0308 - CB0309
C38	<p>Email from Nicolas Dumont (SG) to Katrina Constable (EQWE) and Luke Price (EQWE) copying Alexis Kaminski (SG), Patrick Mabilille (SG) and Gregory Thong (SG) titled "RE: Veolia 2nd drawdown"</p> <p>[replying to email in tab C35]</p>	6 May 2021 11.12am	Ex GT-13 to Thong 1	CB0310
C39 C39_O	<p>Email from Katrina Constable (EQWE) to Nicolas Dumont (SG) and Luke Price (EQWE) copying Alexis Kaminski (SG), Patrick Mabilille (SG) and Gregory Thong (SG) titled "RE: Veolia 2nd drawdown" and attaching:</p> <p>Second Verification Statement [C36]</p> <p>[replying to email in tab C38]</p>	6 May 2021 12.14pm	Ex GT-13 to Thong 1	CB0311 CB0311_O
C40	<p>Email from Katrina Constable (EQWE) to Nicolas Dumont (SG), Alexis Kaminski (SG) and Gregory Thong (SG) and copying Luke Price (SG) titled "RE: Notice of Assignment"</p>	6 May 2021 12.46pm	Ex GT-12 to Thong 1	CB0312
C41 C41_O	<p>Email from Eloise Orlandini (FF) to Katrina Constable (EQWE) copying Luke Price (SG) and Bill Papas titled "RE: Veolia #64" and attaching:</p> <p>Second Notice of Assignment [C37]</p>	6 May 2021 2.20pm	Ex GT-14A to Thong 1	CB0313 CB0313_O
C42 C42_O	<p>Email from Katrina Constable (EQWE) to Nicolas Dumont (SG), Alexis Kaminski (SG) and Gregory Thong (SG) copying Luke Price</p>	6 May 2021 2.46pm	Ex GT-14 to Thong 1	CB0314 CB0314_O

Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
	(EQWE) titled "RE: Notice of Assignment" and attaching:  Second Notice of Assignment [C37]  Second Payment Schedule [C28]			
C43	Email from Nicolas Dumont (SG) to Katrina Constable (EQWE) and Luke Price (SG) copying Alexis Kaminski (SG), Gregory Thong (SG), Patrick Mabilie (SG) and Max-Pol Haein (SG) titled "RE: Notice of Assignment"  [replying to email in tab C40]	6 May 2021 5.33pm	Ex GT-12 to Thong 1	CB0315
<b>Third transaction</b>				
C44	Payment Schedule between FF and Veolia ( <b>Third Payment Schedule</b> )  [attached to email in tab C47]	14 May 2021	Ex GT-17 and GT-18A to Thong 1  Ex ND-3 to Dumont  Ex GB-3 to Brar	CB0316 - CB0319
C45	Certificate of Acceptance of Delivery ( <b>Third Certificate of Acceptance of Delivery</b> )  [attached to email in tab C47]	14 May 2021	Ex GT-18A to Thong 1  Ex GB-6 to Brar	CB0320
C46	Offer Notice from FF to Societe Generale ( <b>Third Offer Notice</b> )  [attached to emails in tabs C48, C49, C50 and C51]	17 May 2021	Ex GT-15 and GT-18A to Thong 1  Ex GT-43 to Thong 2  Ex ND-3 to Dumont	CB0321 - CB0325
C47	Email from Bill Papas to Katrina Constable (EQWE) copying Luke Price (EQWE) and Eloise Orlandini (FF) titled "Veolia Agreements" and attaching:  the Third Payment Schedule [C44]; and	17 May 2021 9.45am	Ex GT-18A to Thong 1	CB0326

Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
	the Third Certificate of Acceptance of Delivery [C45]			
C48	Email from Katrina Constable (EQWE) to Bill Papas and Eloise Orlandini (FF) copying Luke Price (SG) titled "RE: Veolia #66 (Soc Gen 3)" and attaching:  the Third Offer Notice [C46]; and  the Third Notice of Assignment [C58];	17 May 2021 4.12pm	Ex GT-18A to Thong 1	CB0327
C49 C49_O	Email from Eloise Orlandini (FF) to Katrina Constable (EQWE) and Bill Papas copying Luke Price (SG) titled "RE: Veolia #66 (Soc Gen 3)" and attaching:  the Third Offer Notice [C49]  [replying to email in tab C48]	17 May 2021 5.33pm	Ex GT-18A to Thong 1	CB0328  CB0328_O
C50	Email from Luke Price (SG) to Gregory Thong (SG) copying Katrina Constable (EQWE) titled "Veolia 3 Offer Notice" and attaching:  the Third Offer Notice [C46]	18 May 2021 4.49pm	Ex GT-15 to Thong 1  Ex GT-43 to Thong 2	CB0329
C51 C51_O	Email from Gregory Thong (SG) to Nicolas Dumont (SG), Max-Pol Haein (SG), Patrick Mabilie (SG) copying Luke Price (SG) and Alexis Kaminski (SG) titled "FW: Veolia 3 Offer Notice" and attaching:  the Third Offer Notice [C46]  [replying to email in Tab C50]	18 May 2021 5.00pm  [Note: Ex GT-43 displays this in a different time zone as 4.00pm]	Ex GT-43 to Thong 2	CB0330  CB0330_O
C52	PPSR Verification Statement regarding registration of security interest by FF (as the secured party) against Veolia (as the grantor) in favour of FF ( <b>Third Verification Statement</b> )  [attached to email in tab C54]	19 May 2021	Ex GT-16 to Thong 1  Ex ND-3 to Dumont	CB0331 - CB0332
C53	Email from Eloise Orlandini (FF) to Katrina Constable (EQWE) copying	19 May 2021 11.12am	Ex GT-18A to Thong 1	CB0333  CB0333_O



Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
C53_O	<p>Luke Price (EQWE) and Bill Papas titled "RE: Veolia #66 (Soc Gen 3) and attaching:</p> <p>the Third Notice of Assignment [C58]</p> <p>the Third Payment Schedule [C44]</p> <p>[replying to email in tab C49]</p>			
C54	<p>Email from Katrina Constable (EQWE) to Gregory Thong (SG) titled "RE: Forum PPS" and attaching:</p> <p>the Third Verification Statement [C52]</p>	19 May 2021 3.40pm	Ex GT-16 to Thong 1	CB0334
C55 C55_O	<p>Email from Katrina Constable (EQWE) to Gregory Thong (SG) and Nicolas Dumont (SG) titled "RE: Veolia #66 – Notice of Assignment" and attaching:</p> <p>the Third Notice of Assignment [C58]</p> <p>the Third Payment Schedule [C44]</p>	20 May 2021 12.03pm	Ex GT-18 to Thong 1	CB0335 CB0335_O
C56	<p>Email from Nicolas Dumont (SG) to Katrina Constable (EQWE) copying Gregory Thong (SG) and Patrick Mabile (SG) titled "RE: Veolia #66 – Notice of Assignment"</p> <p>[replying to email in tab C55]</p>	20 May 2021 12.12pm	Ex GT-18 to Thong 1	CB0336
C57	<p>Email from Katrina Constable (EQWE) to Gregory Thong (SG) and Nicolas Dumont (SG) titled "RE:Veolia #66 – Notice of Assignment" and attaching:</p> <p>the Third Notice of Assignment [C58]</p>	20 May 2021 2.03pm	Ex GT-17 to Thong 1	CB0337
C58	<p>Notice of Assignment from Forum Finance to Veolia (<b>Third Notice of Assignment</b>)</p> <p>[attached to emails in tabs C48, C53, C55 and C57]</p>	24 May 2021	<p>Ex GT-17 and GT-18A to Thong 1</p> <p>Ex GB-3 to Brar</p>	CB0338 - CB0339

Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
			Ex ND-3 to Dumont	
C59	Email from Nicolas Dumont (SG) to Katrina Constable (EQWE) and Luke Price (EQWE) copying Gregory Thong (SG), Patrick Mabile (SG), Max-Pol Haein (SG) and Alexis Kaminski (SG) titled "RE: Veolia #66 - Notice of Assignment"  [replying to email in tab C56]	24 May 2021 11.38am	Ex GT-18 to Thong 1	CB0340
<b>Post transactions: discovery of fraud, searches and bank statements</b>				
C60	Messages sent via text message between Mr Price and Mr Thong	21 June 2021	Ex GT-19 to Thong 1	CB0341
C61	Email from Alexander Carlos (Vobis) to Geoffrey Anderson (Westpac) and Luke Price (EQWE) copying Francis Farmakidis (Vobis) titled "The Forum Group of Companies Pty Ltd" (email refers to attachment which is not part of the Court Book)	21 June 2021 3.35pm	Ex GT-20 to Thong 1	CB0342
C62	Email from Luke Price (SG) to Gregory Thong (SG) copying Mike Sheeran (EQWE) titled "FW: The Forum Group of Companies Pty Ltd"  [forwarding the email in tab C61]	21 June 2021 5.12pm	Ex GT-20 to Thong 1	CB0343
C63	ASIC Current and Historical Extract of FF	28 June 2021	Ex GT-1 to Thong 1	CB0344 - CB0354
C64	ASIC Current and Historical Extract of The Forum Group of Companies Pty Ltd ACN 151 964 626 (FG)	28 June 2021	Ex GT-2 to Thong 1	CB0355 - CB0382
C65	National Property Ownership Report of FF	28 June 2021	Ex GT-28 to Thong 1	CB0383 - CB0397
C66	PPSR searches of FF	28 June 2021	Ex GT-29 to Thong 1	CB0398 - CB0668

Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
C67	PPSR searches of FG	28 June 2021	Ex GT-33 to Thong 1	CB0669 - CB0718
C68	National Property Ownership Report of Bill Papas	28 June 2021	Ex GT-34 to Thong 1	CB0719 - CB0727
C69	PPSR searches of Bill Papas	28 June 2021	Ex GT-36 to Thong 1	CB0728 - CB0732
C70	Serial numbers of equipment Veolia leased from Iugis	28 June 2021	Ex GB-7 to Brar	CB0733 - CB0740
C71	Email from Luke Price (SG) to Gregory Thong (SG) and Keiran McPhail (SG) titled "Forum - Soc Gen"	28 June 2021 9.40am	Ex GT-24 to Thong 1	CB0741
C72	Extracts from the website of Iugis Pty Ltd	29 June 2021	Ex GT-4 to Thong 1	CB0742 - CB0758
C73	ASIC Current and Historical Extract of Iugis Pty Ltd ACN 632 882 243	29 June 2021	Ex GT-3 to Thong 1	CB0759 - CB0769
C74	National Property Ownership Report of FG	29 June 2021	Ex GT-32 to Thong 1	CB0770 - CB0779
C75	Bundle of bank statements for the account of FF (BSB 082-080; Account Number: 84-848-3695)	1 January 2021 - 30 June 2021	Ex ITB-12 to Bolster 1	CB0780 - CB0788
C76	Bundle of bank statements for the account of Forum Group Financial Services Pty Ltd ( <b>FGFS</b> ) (BSB 082-080; Account Number: 27-105-8642)	1 March 2021 - 30 June 2021	Ex ITB-13 to Bolster 1	CB0789 - CB0813
<b>Post commencement of proceedings: service and tracing</b>				
C77	Court Orders	1 July 2021	Ex ITB-1 to Bolster 1	CB0814 - CB0817
C78 C78_O	Email from Ashurst (solicitors for SG) to Vobis (solicitors for FF) serving, among other things:  Originating Application;	1 July 2021 4.49pm	Ex ITB-27 to Bolster 1	CB0818 - CB0819  CB0818_O - CB0819_O



Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
	Concise Statement [A1];  Thong 1 [D1] and Ex GT-1 to GT-38;  Brar [D2] and Ex GB-1 to GB-7; and  Court Orders [C77].			
C79 C79_O	Email from Ashurst to Bill Papas serving, among other things:  Originating Application;  Concise Statement [A1];  Thong 1 [D1] and Ex GT-1 to GT-38;  Brar [D2] and Ex GB-1 to GB-7; and  Court Orders [C77].	1 July 2021 4.52pm	Ex ITB-2 to Bolster 1	CB0820 - CB0821  CB0820_O - CB0821_O
C80	Email from Vobis to Ashurst  [replying to email in tab C78]	1 July 2021 5.18pm	Ex ITB-28 to Bolster 1	CB0822
C81 C81_O	Email from Ashurst to Fortis Law (solicitors for FF) serving, among other things:  Originating Application;  Concise Statement [A1];  Thong 1 [D1] and Ex GT-1 to GT-38;  Brar [D2] and Ex GB-1 to GB-7; and  Court Orders [C77].	1 July 2021 5.52pm	Ex ITB-29 to Bolster 1	CB0823 - CB0824  CB0823_O - CB0824_O
C82 C82_O	Email from Ashurst to Panetta Lawyers (solicitors for Bill Papas) serving, among other things:  Originating Application;  Concise Statement [A1];	1 July 2021 5.58pm	Ex ITB-3 to Bolster 1	CB0825 - CB0826  CB0825_O - CB0826_O

Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
	Thong 1 [D1] and Ex GT-1 to GT-38;  Brar [D2] and Ex GB-1 to GB-7; and  Court Orders [C77].			
C83	Email from Fortis Law to Ashurst  [replying to email in tab C81]	2 July 2021 8.50am	Ex ITB-30 to Bolster 1	CB0827
C84	Email from Ashurst to Fortis Law  [replying to email in tab C83]	2 July 2021 8.50am	Ex ITB-30 to Bolster 1	CB0828
C85	Email from Fortis Law to Ashurst  [replying to email in tab C84]	2 July 2021 8.54am	Ex ITB-30 to Bolster 1	CB0829
C86 C86_O	Email from Ashurst to Panetta Lawyers serving, among other things:  Originating Application;  Concise Statement [A1];  Thong 1 [D1] and Ex GT-1 to GT-38;  Brar [D2] and Ex GB-1 to GB-7; and  Court Orders [C77].  [replying to email in tab C82]	2 July 2021 9.45am	Ex ITB-4 to Bolster 1	CB0830  CB0830_O
C87 C87_O	Email from Ashurst to Hunts Law (solicitors for FF) serving, among other things:  Originating Application;  Concise Statement [A1];  Thong 1 [D1] and Ex GT-1 to GT-38;  Brar [D2] and Ex GB-1 to GB-7; and  Court Orders [C77].	2 July 2021 10.17am	Ex ITB-31 to Bolster 1	CB0831  CB0831_O

Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
	[replying to email in tab C78]			
C88 C88_O	Email from Hunts Law to Ashurst serving:  Notice of Appearance for FF	6 July 2021 11.54am	Ex ITB-32 to Bolster 1	CB0832  CB0832_O
C89 C89_O	Email from Allens (solicitors for the Liquidators) to Ashurst serving:  Notice of Acting – Change of Lawyer for FF	12 July 2021 12.12pm	Ex ITB-33 to Bolster 1	CB0833 - CB0834  CB0833_O - CB0834_O
C90	Email from Ashurst to Allens  [replying to email in tab C89]	12 July 2021 12.45pm	Ex ITB-33 to Bolster 1	CB0835
C91	Email from Allens to Ashurst  [replying to email in tab C90]	12 July 2021 8.23pm	Ex ITB-33 to Bolster 1	CB0836
C92 C92_O	Email from Ashurst to Allens serving, among other things:  Originating Application;  Concise Statement [A1];  Thong 1 [D1] and Ex GT-1 to GT-38;  Brar [D2] and Ex GB-1 to GB-7; and  Court Orders [C77].  [replying to email in tab C91]	12 July 2021 9.13pm	Ex ITB-33 to Bolster 1	CB0837 - CB0838  CB0837_O - CB0838_O
C93 C93_O	Email from Allens to Ashurst serving:  Notice of Acting – Change of Lawyer for FF	13 July 2021 12.13pm	Ex ITB-34 to Bolster 1	CB0839  CB0839_O
C94	Email from Ashurst to Panetta Lawyers	14 July 2021 6.13pm	Ex ITB-5 to Bolster 1	CB0840
C95 C95_O	Email from Panetta Lawyers to Ashurst and attaching:  Notice of Acting for Bill Papas	15 July 2021 1.28pm	Ex ITB-5 to Bolster 1	CB0841  CB0841_O



Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
	[replying to email in tab C94]			
C96 C96_O	Email from Panetta Lawyers to Ashurst  [replying to email in tab C95]	15 July 2021 4.52pm	Ex ITB-5 to Bolster 1	CB0842  CB0842_O
C97	Email from Panetta Lawyers to Ashurst serving:  Notice of Acting for Bill Papas  [replying to email in tab C96]	15 July 2021 4.57pm	Ex ITB-5 to Bolster 1	CB0843
C98	Letter of demand from SG to FF	26 July 2021	Ex GT-45 to Thong 2	CB0844 - CB0845
C99	Court Orders	2 August 2021	Ex ITB-26 to Bolster 1	CB0846 - CB0853
C100 C100_O	Email from Ashurst to Panetta Lawyers, Allens and Fortis Law serving:  Amended Originating Application [A2]; and  Statement of Claim [A3].	2 August 2021 4.18pm	Ex ITB-6 to Bolster 1	CB0854  CB0854_O
C101 C101_O	Email from Panetta Lawyers to Ashurst serving:  Notice of Ceasing to Act for Bill Papas	1 October 2021 6.54am	Ex ITB-7 to Bolster 1	CB0855  CB0855_O
C102	Court Orders	20 October 2021	Ex ITB-8 to Bolster 1	CB0856 - CB0896
C103	File note of Matthew Yousef concerning the sending of a text message to Bill Papas	4 February 2022	Ex ITB-11 to Bolster 1	CB0897 - CB0899
C104 C104_O	Email from Ashurst to Panetta Lawyers, Allens and others serving:  Thong 2 [D3] and Ex GT-39 to GT-45	4 February 2022 6.59pm	Ex ITB-9 to Bolster 1	CB0900 - CB0901  CB0900_O - CB0901_O
C105	Email from Ashurst to Panetta Lawyers serving:	4 February 2022 8.09pm	Ex ITB-10 to Bolster 1	CB0902 - CB0903

Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
C105_O	Court Orders [C102]; and Thong 2 [D3] and Ex GT-39 to GT-45.			CB0902_O- CB0903_O
C106	Curriculum Vitae of Kelly Rigby, Director of McGrath Nicol	7 February 2022	Ex JP-1 to Preston 1	CB0904 - CB0905
C107	Spreadsheet titled "Tracing Analysis Model"  [electronic copy only]	7 February 2022	Ex JP-2 to Preston 1	CB0906
C108	Bundle of bank statements used in tracing analysis conducted by Jason Preston of McGrath Nicol  [electronic copy only]	7 February 2022	Ex JP-3 to Preston 1	CB0907
C109	Spreadsheet titled "FGFS Xero Records"  [electronic copy only]	7 February 2022	Ex JP-4 to Preston 1	CB0908
C110	Spreadsheets titled "NAB Tracing Analysis"  [electronic copy only]	7 February 2022	Ex JP-5 to Preston 1	CB0909
C111	Spreadsheet titled "Converted Bank Statements - FG Account"  [electronic copy only]	7 February 2022	Ex JP-6 to Preston 1	CB0910
C112	Spreadsheet titled "Converted Bank Statements"  [electronic copy only]	7 February 2022	Ex JP-6 to Preston 1	CB0911
C113	Spreadsheet titled "Category List"  [electronic copy only]	7 February 2022	Ex JP-7 to Preston 1	CB0912
C114	Spreadsheet titled "Persons of Interest"  [electronic copy only]	7 February 2022	Ex JP-8 to Preston 1	CB0913
C115	Spreadsheet titled "Financier Funding Worksheet"  [electronic copy only]	7 February 2022	Ex JP-9 to Preston 1	CB0914

Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
C116	Spreadsheet titled "Payments made to Respondents"  [electronic copy only]	7 February 2022	Ex JP-10 to Preston 1	CB0915
C117	File note of Matthew Youssef concerning the sending of a text message to Bill Papas	9 February 2022	Ex ITB-15 to Bolster 1	CB0916 - CB0957
C118 C118_O	Email from Ashurst to Panetta Lawyers, Allens and others serving:  Dumont [D4] and Ex ND-1 to ND-3  [replying to email in tab C104]	9 February 2022 10.05am	Ex ITB-35 to Bolster 1	CB0958  CB0958_O
C119 C119_O	Email from Ashurst to Panetta Lawyers serving:  Dumont [D4] and Ex ND-1 to ND-3;  Bundle of bank statements for the account of FF [C75];  Bundle of bank statements for the account of FGFS [C76];  Thong 1 [D1] and Ex GT-1 to GT-38; and  Brar [D2] and Ex GB-1 to GB-7.  [replying to email in tab C105]	9 February 2022 8.03pm	Ex ITB-14 to Bolster 1	CB0959  CB0959_O
C120 C120_O	Email from Ashurst to Allens serving:  Bundle of bank statements for the account of FF [C75];  Bundle of bank statements for the account of FGFS [C76];  Thong 1 [D1] and Ex GT-1 to GT-38; and  Brar [D2] and Ex GB-1 to GB-7.  [replying to email in tab C118]	9 February 2022 8.09pm	Ex ITB-36 to Bolster 1	CB0960 - CB0961  CB0960_O - CB0961_O



Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
C121	Letter from Ashurst to Panetta Lawyers concerning the service of hard copy documents	14 February 2022	Ex ITB-16 to Bolster 1	CB0962 - CB0963
C122	File note of Matthew Youssef concerning the service of hard copy documents on Panetta Lawyers	14 February 2022	Ex ITB-17 to Bolster 1	CB0964 - CB1002
C123 C123_O	Email from Matthew Youssef to Louise Agostino serving:  Court Orders [102];  Thong 1 [D1] and Ex GT-1 to GT-38;  Brar [D2] and Ex GB-1 to GB-7;  Thong 2 [D3] and Ex GT-39 to GT-45];  Dumont [D4] and Ex ND-1 to ND-3;  Bundle of bank statements for the account of FF [C75]; and  Bundle of bank statements for the account of FGFS [C76].	14 February 2022 5.21pm	Ex ITB-18 to Bolster 1	CB1003 CB1003_O
C124 C124_O	Email from Matthew Youssef to William Roberts Lawyers (solicitors for Louise Agostino) serving:  Court Orders [102];  Thong 2 [D3] and Ex GT-39 to GT-45];  Dumont [D4] and Ex ND-1 to ND-3;  Bundle of bank statements for the account of FF [C75]; and  Bundle of bank statements for the account of FGFS [C76].  [forwarding email in tab C123]	14 February 2022 5.27pm	Ex ITB-19 to Bolster 1	CB1004 CB1004_O
C125	Court Orders	10 March 2022	Ex ITB-20 to Bolster 1	CB1005 - CB1021

Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
C126	Court Orders	30 May 2022	Ex ITB-21 to Bolster 1	CB1022 - CB1031
C127	Email from Ashurst to Allens	6 June 2022 10.46am	Ex ITB-37 to Bolster 1	CB1032 - CB1033
C128 C128_O	Email from Allens to Ashurst serving:  Notice of Acting for FGFS  [replying to email in tab C127]	7 June 2022 3.58pm	Ex ITB-37 to Bolster 1	CB1034  CB1034_O
C129	Bundle of documents titled "Additional Bank Statements"  [electronic copy only]	10 June 2022	Ex JP-11 to Preston 2	CB1035
C130	Bundle of documents titled "Converted Bank Statements"  [electronic copy only]	10 June 2022	Ex JP-12 to Preston 2	CB1036
C131	Spreadsheet titled "List of Categories"  [electronic copy only]	10 June 2022	Ex JP-13 to Preston 2	CB1037
C132	Bundle of documents titled "(520452710.1)(520489106.1)" relating to vehicle and property transactions	10 June 2022	Ex JP-14 to Preston 2	CB1038 - CB1123
C133	Spreadsheet titled "Revised Tracing Model"  [electronic copy only]	10 June 2022	Ex JP-15 to Preston 2	CB1124
C134	Spreadsheet titled "The Respondents - Revised Tracing Model"  [electronic copy only]	10 June 2022	Ex JP-16 to Preston 2	CB1125
C135	Spreadsheet titled "Alternative Tracing Model"  [electronic copy only]	10 June 2022	Ex JP-17 to Preston 2	CB1126
C136	Spreadsheet titled "The Respondents - Alternative Tracing Model"	10 June 2022	Ex JP-18 to Preston 2	CB1127

Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
	[electronic copy only]			
C137	ASIC Current and Historical Extract of FGFS	29 June 2022	ITB-23 to Bolster 1	CB1128 - CB1145
C138	ASIC Current and Historical Extract of FF	29 June 2022	ITB-22 to Bolster 1	CB1146 - CB1164
C139	Screenshot of text message from Ian Bolster to Bill Papas concerning the service of documents	1 July 2022 3.58pm	ITB-25 to Bolster 1	CB1165 - CB1169
C140	Email from Ashurst to Panetta Lawyers, Allens, Rebekah of Company Giles and others, serving:  Concise Statement [A1];  Applicant's Genuine Steps Statement;  Amended Originating Application [A2];  Statement of Claim [A3];  Thong 1 [D1] and Ex GT-1 to GT-38; and  Brar [D2] and Ex GB-1 to GB-7  Thong 2 [D3] and Ex GT-39 to GT-45  Dumont [D4] and Ex ND-1 to ND-3  Bundle of bank statements for the account of FF [C75];  Bundle of bank statements for the account of FGFS [C76];  ASIC Current and Historical Extract of FF [C138];  ASIC Current and Historical Extract of FGFS [C137];  Preston 1 [D5] and JP-1 to JP-10;	1 July 2022 4.20pm	ITB-24 to Bolster 1	CB1170 - CB1171



Court Book Tab No.	Document	Date	Evidentiary reference	Court Book Page No.
	Preston 2 [D6] and JP-11 to JP-18; and Draft court book index for SG proceeding.			

FEDERAL COURT OF AUSTRALIA

EXHIBIT / MFI NO: 11

JUDGE: CHEESEMAN J

DATE: 17/02/23

Societe Generale v Forum Finance Pty Ltd

FILE NO. NSD642 OF 2021 (in lip)  
& ors

RETURN TO:

(party / non-party, if produced on subpoena)

ASSOCIATE: Nicholas Moses

FEDERAL COURT OF AUSTRALIA