

NOTICE OF FILING

This document was lodged electronically in the FEDERAL COURT OF AUSTRALIA (FCA) on 29/06/2021 9:30:33 PM AEST and has been accepted for filing under the Court's Rules. Details of filing follow and important additional information about these are set out below.

Details of Filing

Document Lodged: Affidavit - Form 59 - Rule 29.02(1)
File Number: NSD1220/2020
File Title: AUSTRALIAN SECURITIES & INVESTMENTS COMMISSION v
MELISSA LOUISE CADDICK & ANOR
Registry: NEW SOUTH WALES REGISTRY - FEDERAL COURT OF
AUSTRALIA



Sia Lagos

Dated: 29/06/2021 9:31:47 PM AEST

Registrar

Important Information

As required by the Court's Rules, this Notice has been inserted as the first page of the document which has been accepted for electronic filing. It is now taken to be part of that document for the purposes of the proceeding in the Court and contains important information for all parties to that proceeding. It must be included in the document served on each of those parties.

The date and time of lodgment also shown above are the date and time that the document was received by the Court. Under the Court's Rules the date of filing of the document is the day it was lodged (if that is a business day for the Registry which accepts it and the document was received by 4.30 pm local time at that Registry) or otherwise the next working day for that Registry.



Form 59
Rule 29.02(1)

Affidavit

No. 1220 of 2020

Federal Court of Australia
District Registry: NSW
Division: Commercial and Corporations

IN THE MATTER OF MALIVER PTY LTD (ACN 164 334 918)

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Plaintiff

MELISSA LOUISE CADDICK and others named in the Schedule

Defendants

Affidavit of: **Jennifer El-Azzi**
Address: CBP North, 1 Harbour Street Sydney NSW 2000
Occupation: Compliance Manager
Date: 29 April 2021

Contents

Document number	Details	Paragraph	Page
1	Affidavit of Jennifer El-Azzi sworn on 29 April 2021	1	2
2	Annexure "JE-3", being a copy of a Portfolio Statement & Transaction Summary Statement for Brie Robertson Webb with account number 786549	13	6
3	Annexure "JE-4", being a copy of a Portfolio Statement for Brie Robertson Webb with account number 529753	13	6

Filed on behalf of (name & role of party) Australian Securities and Investments Commission (Plaintiff)

Prepared by (name of person/lawyer) Nicolette Bearup

Law firm (if applicable) _____

Tel (02) 9911 2000

Tel (02) 9911 2000

Email Nicolette.bearup@asic.gov.au

Address for service Email addresses above and Level 5,100 Market Street Sydney NSW 2000
(include state and postcode)

Document number	Details	Paragraph	Page
4	Annexure "JE-5", being a copy of a Portfolio Statement & Transaction Summary Statement for Carleen Ellis with account number 787037	13	6
5	Annexure "JE-6", being a copy of a Portfolio Statement & Transaction Summary Statement for Carolyn Gould with account number 723499	13	6

I, Jennifer El-Azzi, Compliance Manager, say on oath:

1. I am currently employed by the Commonwealth Bank of Australia (CBA) as a Commonwealth Securities Limited Compliance Manager (CommSec) (Compliance Manager). I have held this role since 15 November 2019 and have been employed by CBA since 5 December 2016.
2. This is the second affidavit I have sworn in these proceedings. I swore an earlier affidavit on 22 March 2021.

CommSee database

3. At paragraph 8 of my earlier affidavit, I described the CommSee database and the Customer Service Console (CSC) database. In the paragraphs below, I provide some additional information regarding the two databases.
4. The CommSee database contains broader customer information than the CSC database. The CommSee database contains customer information relating to accounts or products that a customer holds across the broader Commonwealth Bank. For example, a search of the CommSee database will generate search results indicating whether a particular customer has any credit cards, home loans or other business loans with the Commonwealth Bank. A search of the CommSee database will also generate search results indicating whether a particular customer has any share trading accounts with CommSec.
5. While it is possible to search the CommSee database to determine whether a customer holds a CommSec account, the CommSee database does not contain specific information relating to a customer's CommSec account, such as their shareholdings or trading history. That information is held in the CSC database.
6. In contrast to the CommSee database, the CSC database only contains information concerning a customer's share trading account with CommSec. Accordingly, a search of the CSC database will definitively tell me:




- (a) whether or not there exists a CommSec account with a particular account number; and
 - (b) if a CommSec account with a particular account number exists, the name of the party that holds (or held) the CommSec account.
7. It is not possible for a CommSec account number to be stored in the CommSee database without it also being stored in the CSC database. Accordingly, if a search of the CSC database using a purported CommSec account number generates zero matches, it is unnecessary to conduct a secondary search of the CommSee database in respect of that same purported CommSec account number.

Clarification of paragraphs 10 and 17 of my earlier affidavit

8. At paragraph 10 of my earlier affidavit I stated that I filled out the information in columns I, J and K of the Excel spreadsheet found behind Tab 1 of Exhibit JE-1. In respect of the Excel spreadsheet I say:
- (a) Column "I" indicates whether the account number provided to me by ASIC as referred to in paragraph 9 of my earlier affidavit was located by me within the CSC database. As mentioned in paragraph 9, that account number appeared in the "Portfolio Statement" and/or the "Transaction Summary Statement" referred to in paragraph 9 and exhibited to my earlier affidavit. In the event such number matched a CommSec account number for the relevant individual I recorded "Yes" in Column I against the relevant name of the individual or entity. Where I found no match, I recorded "No" against the relevant name of the individual or entity;
 - (b) Column "J" indicates whether account number provided to me by ASIC and appearing in the "Portfolio Statement" and/or the "Transaction Summary Statement" referred to in paragraph 9 of my earlier affidavit was a genuine CommSec Account. In the event such number matched a CommSec account number for the relevant individual I recorded "Yes" in Column I against the relevant name of the individual or entity. Where I found no match, I recorded "No" against the relevant name of the individual or entity;
 - (c) Column "K" records my comments that I made in respect of my searches for each individual or entity set out in the spreadsheet.
9. For the avoidance of doubt, I incorporate the information contained in columns I, J and K of the Excel spreadsheet into the body of this affidavit and adopt that information as if it formed part of this affidavit.



10. At paragraph 17 of my earlier affidavit, I summarised the results of my searches of the CSC database in respect of the account numbers ASIC provided to me. As mentioned, those results are recorded in columns I, J and K of the Excel spreadsheet. For the avoidance of any doubt, I clarify the following.
- (a) In respect of paragraph 17(a) of my earlier affidavit, the documents at Tabs 3, 4, 18, 19, 39, 43 and 120 of Exhibit JE-1 contain only a "Portfolio Statement" referred to in paragraph 9 of my earlier affidavit. In respect of each individual or entity to which the documents behind those tabs relate, I received from ASIC only a "Portfolio Statement" containing the relevant account number.
 - (b) In respect of paragraph 17(b) of my earlier affidavit, the documents at Tabs 5 and 20 of Exhibit JE-1 contained only a "Transaction Summary Statement". In respect of each individual or entity to which the documents behind those tabs relate, I received from ASIC only a "Transaction Summary Statement" containing the relevant account number.
 - (c) In respect of paragraph 17(c) of my earlier affidavit, the documents at Tabs 6, 7-17, 21 to 38, 40, 42, 44 to 71, 73, 75 to 76, 91, 94 to 95, 98 to 100, 107 to 110, 112, 119 and 122 Tab 123 of Exhibit JE-1 contain both a "Portfolio Statement" and "Transaction Summary Statement". In respect of each individual or entity to which the documents behind those tabs relate, I received from ASIC both a "Portfolio Statement" and a "Transaction Summary Statement" containing the relevant account number. I note that in my earlier affidavit, I included the documents at Tabs 5 and 39 in this category. However, this was a typographical error. The document at Tab 5 contained only a "Transaction Summary Statement" and is referred to at paragraph 8(b) above. The document at tab 39 contained only a "Portfolio Statement" and is referred to at paragraph 8(a) above.
 - (d) In respect of paragraph 17(d) of my earlier affidavit, the documents at Tabs 41, 72, 74, 77 to 90, 92 to 93, 96 to 97, 101 to 106, 111, 113 to 118 and Tab 121 of Exhibit JE-1 contain both a "Portfolio Statement" and "Transaction Summary Statement". In respect of each individual or entity to which the documents behind those tabs relate, I received from ASIC both a "Portfolio Statement" and a "Transaction Summary Statement" containing the relevant purported account number.
11. In respect of paragraph 21 of my earlier affidavit, when I refer to the "Portfolio Valuations" I refer to the "Portfolio Statement" and/or the "Transaction Summary



Statement" referred to in paragraph 9 of my earlier affidavit. To clarify as a result of my investigations described in my earlier affidavit:

- (a) There was only one instance in which the account number I used from the Portfolio Valuations provided to me by ASIC as described in paragraph 9 of my earlier affidavit matched an account name within the CSC database.
- (b) In most cases, the account numbers from the Portfolio Valuations provided to me by ASIC as described in paragraph 9 of my earlier affidavit matched a genuine CommSec account within the CSC database however the respective account details did not match the name set out in those Portfolio Valuations. That is, the account numbers set out in the Portfolio Valuations were genuine Commsec account numbers however they did not match the Commsec investor purported to be named in the Portfolio Valuations. This was so for the individuals and entities referred to in cells H4 - H41, H43 - H72, H74, H76 - H77, H92, H95 - H96, H99 - H101, H108 - H111, H113, H120 - H121 and H123 - H124 of the Excel spreadsheet.
- (c) In some cases, the account numbers asserted in the Portfolio Valuations matched no account number in the CSC database and accordingly I concluded that those purported accounts do not exist. For example the investors referred to at cells H42, H73, H75, H78, H91, H93, H94, H97, H98, H102 - H107, H112, H114 - H119, H122.

Additional documents from ASIC

12. On 26 April 2021, ASIC provided me with the following documents:

No.	Investor	Purported CommSec account number	Document	Ringtail barcode
1	REDACTED		Portfolio Statement & Transaction Summary Statement	MAL.2015.0001.1059
2			Portfolio Statement	MAL.0019.0003.0001
3			Portfolio Statement & Transaction Summary	MAL.2004.0002.7116

			Statement	
4	REDACTED		Portfolio Statement & Transaction Summary Statement	MAL.2027.0001.0509

13. Copies of each of these documents are at **Annexures JE-3, JE-4, JE-5 and JE-6** to this affidavit.
14. On 27 April 2021, I searched the CSC database in order to determine whether there are CommSec accounts for the relevant investor bearing the purported CommSec account numbers on the Portfolio Statements and Transaction Summary Statements.
15. For each search, I took the steps outlined at paragraph 16 of my earlier affidavit.
16. My searches of the CSC database returned the following responses:
- (a) REDACTED the search results returned a valid CommSec share trading account. However, the account details do not match the name listed on the "Transaction Summary Statement" and "Portfolio statement". The CommSec account with the account number 786549 belongs to an unrelated third party.
- (b) REDACTED as noted at paragraph above, the document provided to me consisted of only a "Portfolio Statement" containing the account number. The search results returned a valid CommSec share trading account. However, the account details do not match the name listed on the "Portfolio statement". The CommSec account with account number 529753 belongs to an unrelated third party.
- (c) REDACTED the search results returned a valid CommSec share trading account. However, the account details do not match the name listed on the "Transaction Summary Statement" and "Portfolio statement". The CommSec account with account number 787037 belongs to an unrelated third party.
- (d) REDACTED the search results returned a valid CommSec share trading account. However, the account details do not match the name listed on the "Transaction Summary Statement" and "Portfolio statement". The CommSec account with account number 723499 belongs to an unrelated third party.




Sworn by the deponent
at Sydney
in New South Wales
on 29 April 2021
Before me:

)
)
)
)
)
Signature of deponent


Signature of witness

Stephen Johnson
An Australian Legal Practitioner within the meaning of the *Legal Profession Uniform Law* (NSW)

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Federal Court of Australia
 District Registry: New South Wales
 Division: Commercial and Corporations

No. NSD 1220 of 2020

IN THE MATTER OF MALIVER PTY LTD (ACN 164 334 918)

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Plaintiff

MELISSA LOUISE CADDICK and another

Defendants

Annexure JE-3

This is the annexure marked "JE-3" referred to in the affidavit of Jennifer El-Azzi sworn on 29 April 2021.

Before me: 

Stephen Johnson
 An Australian Legal Practitioner within the Meaning of the Legal Profession Uniform Law
 (NSW)

Filed on behalf of	Australian Securities and Investments Commission, Plaintiff		
Prepared by	Nicolette Bearup		
Tel	(02) 9911 5745	Fax	(02) 9911 2414
Email	Nicolette.Bearup@asic.gov.au		
Address for service	Email address above and Level 5, 100 Market Street, Sydney NSW 2000 Attention: Nicolette Bearup		

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MALIVER PTY LIMITED
PO Box W4
WATSONS BAY NSW 2030
REDACTED

28/9/2018

Dear REDACTED

REDACTED

Attached please find portfolio valuation for the period ending 28/9/2018

Kind Regards

Melissa Caddick

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REDACTED		RETURN V'S ALL ORDINARIES INDEX	
29/9/14 Funds Transferred	5,400.00	29/9/14 All Ords Index	5,300.00
28/9/2018 Unrealised Profit to date	3,211.60		
28/9/2018 Cash	543.00		
28/9/2018 Market Value	9,107.60	28/9/2018 All Ords Index	6,325.50
% Return	68.66		19.35

PORTFOLIO STATEMENT



SUMMARY FOR FY 2018 - 2019

Account Number:
Account Name:

REDACTED

Share Holdings as at	28/9/2018 6:00 PM (Sydney time)							
	Stock Code	Closing (\$)	Units	Cost	Market Value	Profit (\$)	Return (%)	
JB HI-FI LIMITED	JBH	\$ 25.19	340	\$ 5,353.00	\$ 8,564.60	\$ 3,211.60	60.00	

Cash Holdings as at **28/9/2018** \$ 543.00

Total Market Value as at **28/9/2018** \$ 9,107.60

Disclaimer:

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Address: Locked Bag 22 Australia Square NSW 1215 **Enquiries:** 13 15 19 8am - 6pm Sydney time, Monday to Friday **www.commsec.com.au**

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TRANSACTION SUMMARY STATEMENT



SUMMARY FOR FY 2018 - 2019

Account Number:
Account Name:

REDACTED

Statement Begins: 1/7/18
Statement Ends: 28/9/2018

Total Buys (Inc. Brokerage + Admin Fees + GST)

Contact No.	Date	Stock Code	Units	Average (\$)	Brokerage	Net Proceeds	Settlement Date	Order Taker	Contract Status
-------------	------	------------	-------	--------------	-----------	--------------	-----------------	-------------	-----------------

Total Sells (Inc. Brokerage + Admin Fees + GST)

REDACTED

Note:
INT = Internet
BRK = Broker

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PORTFOLIO STATEMENT



SUMMARY FOR FY 2018 - 2019

Account Number: REDACTED
Account Name: REDACTED
 1/7/18

Statement Begins: 1/7/18
 Statement Ends: 28/9/2018

Opening Balance: \$ 386.60

Contract No.	Transaction Date	Description	Debit	Credit	Balance
	7/9/18	DIVIDEND - JBH	\$	156.40	

CLOSING BALANCE \$ - \$ 156.40

28/9/2018 \$ 543.00

REDACTED

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Address: Locked Bag 22 Australia Square NSW 1215 **Enquiries:** 13 15 19 8am - 8pm Sydney time, Monday to Friday **www.commsec.com.au**

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PORTFOLIO STATEMENT



SUMMARY FOR FY 2018 - 2019

Account Number:
Account Name:

REDACTED

Statement Begins
Statement Ends:

1/7/18
28/9/2018

Stock Code	Company Name	Dividend Amount	Units Held Ex Dividend	Ex Dividend Date	Record Date	Date Payable	% Franked	Type	Dividend Payment
JBH	JB HI-FI Limited	0.46	340	23/8/18	24/8/18	7/9/18	100%	Final \$	156.40
								\$	156.40

REDACTED

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Address: Locked Bag 22 Australia Square NSW 1215 **Enquiries:** 13 15 19 8am - 8pm Sydney time, Monday to Friday **www.commsec.com.au**

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Federal Court of Australia
 District Registry: New South Wales
 Division: Commercial and Corporations

No. NSD 1220 of 2020

IN THE MATTER OF MALIVER PTY LTD (ACN 164 334 918)

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Plaintiff

MELISSA LOUISE CADDICK and another

Defendants

Annexure JE-4

This is the annexure marked "JE-4" referred to in the affidavit of Jennifer El-Azzi sworn on 29 April 2021.

Before me: 

Stephen Johnson

An Australian Legal Practitioner within the Meaning of the Legal Profession Uniform Law (NSW)

Filed on behalf of	Australian Securities and Investments Commission, Plaintiff		
Prepared by	Nicolette Bearup		
Tel	(02) 9911 5745	Fax	(02) 9911 2414
Email	Nicolette.Bearup@asic.gov.au		
Address for service	Email address above and Level 5, 100 Market Street, Sydney NSW 2000 Attention: Nicolette Bearup		

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MAL.0019.0003.0001

PORTFOLIO STATEMENT

CommSec



SUMMARY FOR FY 2020 - 2021

Account Number:

REDACTED

Account Name:

REDACTED

Statement Begins: 1/7/2020

Statement Ends: 10/11/2020

1/7/20

NIL

Contract No.	Transaction Date	Description	Debit	Credit	Balance
	27/10/20	Interbank Transfer		\$ 10,000.00	
	27/10/20	Interbank Transfer		\$ 10,000.00	
	4/11/20	Interbank Transfer		\$ 10,000.00	
	5/11/20	Interbank Transfer		\$ 10,000.00	
	6/11/20	Interbank Transfer		\$ 10,000.00	
	7/11/20	Interbank Transfer		\$ 10,000.00	
	8/11/20	Interbank Transfer		\$ 10,000.00	
	9/11/20	Interbank Transfer		\$ 10,000.00	
	10/11/20	Interbank Transfer		\$ 10,000.00	

CLOSING BALANCE \$ - \$ 90,000.00

10/11/2020

\$ 90,000.00

REDACTED

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Federal Court of Australia
 District Registry: New South Wales
 Division: Commercial and Corporations

No. NSD 1220 of 2020

IN THE MATTER OF MALIVER PTY LTD (ACN 164 334 918)

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION


Plaintiff

MELISSA LOUISE CADDICK and another

Defendants

Annexure JE-5

This is the annexure marked "JE-5" referred to in the affidavit of Jennifer El-Azzi sworn on 29 April 2021.

Before me: 

Stephen Johnson

An Australian Legal Practitioner within the Meaning of the Legal Profession Uniform Law (NSW)

Filed on behalf of	Australian Securities and Investments Commission, Plaintiff	
Prepared by	Nicolette Bearup	
Tel (02) 9911 5745	Fax	(02) 9911 2414
Email	Nicolette.Bearup@asic.gov.au	
Address for service	Email address above and Level 5, 100 Market Street, Sydney NSW 2000 Attention: Nicolette Bearup	

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MALIVER PTY LIMITED
PO Box W4
WATSONS BAY NSW 2030
REDACTED

30 June 2017

Dear REDACTED

REDACTED

Attached please find portfolio valuation for the period ending 30 June 2017

Kind Regards

Melissa Caddick

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REDACTED

RETURN V'S ALL ORDINARIES INDEX

16/7/14 Funds Transferred	15,000.00	16/7/14 All Ords Index	5,504.47
30/06/2017 Unrealised Profit to date	10,430.27		
30/06/2017 Cash	5,773.09		
30/6/17 Market Value	31,360.09	30/6/17 All Ords Index	5,764.00
% Return	109.07		4.71

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PORTFOLIO STATEMENT



SUMMARY FOR FY 2016 - 2017

Account Number:
Account Name:

REDACTED

Share Holdings as at	30/6/17 6:00 PM (Sydney time)							
	Stock Code	Closing (\$)	Units	Cost	Market Value	Profit (\$)	Return (%)	
NUFARM LIMITED	NUF	\$ 9.63	2,000	\$ 8,750.00	\$ 19,260.00	\$ 10,510.00	120.11	
RIO TINTO LIMITED	RIO	\$ 63.27	100	\$ 6,406.73	\$ 6,327.00	\$ (79.73)	(1.24)	
				\$ 15,156.73	\$ 25,587.00	\$ 10,430.27	68.82	

Cash Holdings as at **30/6/17** \$ 5,773.09

Total Market Value as at **30/6/17** \$ 31,360.09

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Address: Locked Bag 22 Australia Square NSW 1215 : **Enquiries:** 13 15 19 8am - 8pm Sydney time, Monday to Friday www.commsec.com.au

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TRANSACTION SUMMARY STATEMENT



SUMMARY FOR FY 2016 - 2017

Account Number:
Account Name:

REDACTED

Statement Begins: 1/7/16
Statement Ends: 30/6/17

Total Buys (Inc. Brokerage + Admin Fees + GST)

Contact No.	Date	Stock Code	Units	Average (\$)	Brokerage	Net Proceeds	Settlement Date	Order Taker	Contract Status
-------------	------	------------	-------	--------------	-----------	--------------	-----------------	-------------	-----------------

Total Sells (Inc. Brokerage + Admin Fees + GST)

REDACTED

Note:

INT = Internet
BRK = Broker

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Address: Locked Bag 22 Australia Square NSW 1215 : **Enquiries:** 13 15 19 8am - 8pm Sydney time, Monday to Friday **www.commsac.com.au**

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PORTFOLIO STATEMENT



SUMMARY FOR FY 2016 - 2017

Account Number:
Account Name:

REDACTED

1/7/16

Statement Begins: 1/7/16
Statement Ends: 30/6/17

Opening Balance: \$ 5,310.34

Contract No.	Transaction Date	Description	Debit	Credit	Balance
	22/9/16	DIVIDEND - RIO	\$	59.13	\$ 5,369.47
	11/11/16	DIVIDEND - NUF	\$	140.00	\$ 5,509.47
	6/4/17	DIVIDEND - RIO	\$	163.62	\$ 5,673.09
	5/5/17	DIVIDEND - NUF	\$	100.00	\$ 5,773.09

CLOSING BALANCE \$ - \$ 462.75

\$ 5,773.09

REDACTED

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Address: Locked Bag 22 Australia Square NSW 1215 | **Enquiries:** 13 15 19 8am - 8pm Sydney time, Monday to Friday | www.commsec.com.au

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PORTFOLIO STATEMENT



DIVIDEND SUMMARY FOR FY 2016 - 2017

Account Number:
Account Name:

REDACTED

Statement Begins: 1/7/16
Statement Ends: 30/6/17

Stock Code	Company Name	Dividend Amount	Units Held Ex Dividend	Ex Dividend Date	Record Date	Date Payable	% Franked	Type	Dividend Payment
RIO	Rio Tinto Limited	0.5913	100	11/8/16	12/8/16	22/9/16	100%	FINAL	\$ 59.13
NUF	Nufarm Limited	0.07	2,000	13/10/16	14/10/16	11/11/16	100%	FINAL	\$ 140.00
RIO	Rio Tinto Limited	1.6362	100	23/2/17	24/2/17	6/4/17	100%	FINAL	\$ 163.62
NUF	Nufarm Limited	0.05	2,000	6/4/17	7/4/17	5/5/17	100%	INTERIM	\$ 100.00

\$ 462.75

REDACTED

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Federal Court of Australia
 District Registry: New South Wales
 Division: Commercial and Corporations

No. NSD 1220 of 2020

IN THE MATTER OF MALIVER PTY LTD (ACN 164 334 918)

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Plaintiff

MELISSA LOUISE CADDICK and another

Defendants

Annexure JE-6

This is the annexure marked "JE-6" referred to in the affidavit of Jennifer El-Azzi sworn on 29 April 2021.

Before me: 

Stephen Johnson

An Australian Legal Practitioner within the Meaning of the Legal Profession Uniform Law (NSW)

Filed on behalf of	Australian Securities and Investments Commission, Plaintiff		
Prepared by	Nicolette Bearup		
Tel	(02) 9911 5745	Fax	(02) 9911 2414
Email	Nicolette.Bearup@asic.gov.au		
Address for service	Email address above and Level 5, 100 Market Street, Sydney NSW 2000 Attention: Nicolette Bearup		

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REDACTED		RETURN V'S ALL ORDINARIES INDEX	
10/05/15 Funds Transferred	80,000.00	11/05/15 All Ords Index	5,640.20
Realised Profit to date			
31/07/2015 Unrealised Profit to date	2,249.00		
31/07/2015 Citibank Interest	212.05		
31/07/15 Market Value	82,220.01	31/07/15 All Ords Index	5,681.70
% Return	2.78		0.74

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PORTFOLIO STATEMENT



SUMMARY FOR FY 2015 - 2016

Account Number:
Account Name:

REDACTED

Share Holdings as at 31/07/15 6:00 PM (Sydney time)

	Stock Code	Closing (\$)	Units	Cost	Market Value	Profit (\$)	Return (%)
RAMSAY HEALTH CARE LTD	RHC	\$ 66.88	150	\$ 9,535.00	\$ 10,032.00	\$ 497.00	5.21
MACQUARIE GROUP LIMITED	MQG	\$ 82.15	400	\$ 31,108.00	\$ 32,860.00	\$ 1,752.00	5.63
				\$ 40,643.00	\$ 42,892.00	\$ 2,249.00	5.53

Cash Holdings as at 31/07/15 \$ 39,328.01

Total Market Value as at 31/07/15 \$ 82,220.01

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TRANSACTION SUMMARY STATEMENT



SUMMARY FOR FY 2015 - 2016

Account Number:
Account Name:

REDACTED

Statement Begins: 1/07/15
Statement Ends: 31/07/15

Total Buys (Inc. Brokerage + Admin Fees + GST)

Contact No.	Date	Stock Code	Units	Average (\$)	Brokerage	Net Proceeds	Settlement Date	Order Taker	Contract Status
96840301	14/07/15	RHC	150	\$ 62.90	\$ 100.00	\$ 9,535.00	17/07/15	INT	CONFIRMED

Total Sells (Inc. Brokerage + Admin Fees + GST)

96458702	7/07/15	NCM	500	\$ 13.28	\$ 100.00	\$ 6,540.00	10/07/15	INT	CONFIRMED
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REDACTED

Note:
INT = Internet
BRK = Broker

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PORTFOLIO STATEMENT



SUMMARY FOR FY 2015 - 2016

Account Number:
Account Name:

REDACTED

Statement Begins: 1/07/15
Statement Ends: 31/07/15

Opening Balance: \$ 42,110.96

Contract No.	Transaction Date	Description	Debit	Credit	Balance
96458702	10/07/15	S - NCM		\$ 6,540.00	\$ 48,650.96
96840301	17/07/15	B - RHC	\$ 9,535.00		\$ 39,115.96
	18/07/15	CBB INTEREST		\$ 212.05	\$ 39,328.01

CLOSING BALANCE	\$ 9,535.00	\$ 6,752.05	\$ 39,328.01
31/07/15			\$ 39,328.01

REDACTED

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PORTFOLIO STATEMENT



DIVIDEND SUMMARY FOR FY 2015 - 2016

Account Number:
Account Name:

REDACTED

Statement Begins
Statement Ends:

1/07/15
31/07/15

Stock Code	Company Name	Dividend Amount	Units Held Ex Dividend	Ex Dividend Date	Record Date	Date Payable	% Franked	Type	Dividend Payment
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\$ -

REDACTED

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