



Kelly Rigby

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| POSITION | Director | SYDNEY OFFICE |
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Qualifications & Memberships

- Bachelor of Business (Accountancy), Queensland University of Technology
- Certified Fraud Examiner, Association of Certified Fraud Examiners (ACFE)
- Graduate Certificate of Intelligence, Queensland University of Technology
- Diploma of Government (Investigation), Sydney Institute of Professional Studies
- Certificate IV in Government (Investigation), Sydney Institute of Professional Studies
- Certificate III in Investigative Services, Sydney Institute of Professional Studies
- NV2 Australian Government Security Clearance

Kelly is a forensic expert with more than 8 years of experience specialising in complex financial investigations and asset tracing. Kelly has extensive experience conducting fraud and corruption investigations for both the public and private sector, as well as asset and fund tracing investigations on forensic and restructuring engagements.

Kelly has led a number of high profile civil and criminal investigations across a broad range of industries. Her investigation experience has included leading large teams on complex forensic accounting matters involving the analysis of large volumes of electronic data, reconstruction of accounting records and the quantification of loss.

Kelly previously worked at a 'Big 4' firm, at CBA and for the NSW Crime Commission pursuing confiscation and investigation of the proceeds of organised crime. Kelly's experience in the financial services sector involved roles in financial crime investigations, conduct risk and internal audit where she worked closely with compliance, legal, audit and risk committees.

Engagement Experience

- Led a major investigation of the Sargon Group, a group of entities which operate in the financial planning, corporate trustee, responsible entity, superannuation and related financial services sectors following our appointment by Chinese state-owned insurance company Taiping Trustees Limited as Receivers and Managers. Our work focused on understanding the use and application of c.\$82 million in funds provided by our client to a series of entities and funds. I led a substantial tracing exercise and document review to identify who benefited from the funds, including any payments towards property, shares and entities. The findings from the tracing analysis were used to assist with public examinations of directors in the Federal Court of Australia.
- Led a high profile criminal investigation and forensic accounting reconstruction involving allegations of corruption against a senior executive of a major financial institution and a key supplier. A 12 month investigation identified significant and long standing issues of fraud, corruption, misconduct and accounting irregularities in relation to a \$120 million contract with an external event planning business. Our investigation required significant reconstruction of financial records over a 12 year period and resulted in the quantification of the alleged misappropriation for the NSW Police and the Department of Public Prosecution. Our work has resulted in significant fraud and corruption charges being laid against the individuals.



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Director

- Led a major financial investigation, including substantial asset and fund tracing into a not for profit, children's home care charity involving allegations of the misappropriation of funds received from the NSW Government. A joint engagement with our Corporate Recovery team, McGrathNicol was appointed as liquidator and conducted an investigation requiring a reconciliation of \$44 million in Government funding. The investigation involved working closely with the client and its legal advisors and assisting with the public examinations of relevant parties in the Federal Court of Australia.
- Led a substantial investigation into the misappropriation of real estate trust funds. Engaged by the NSW Department of Fair Trading as Joint Managers, we assisted with managing the wind down of the agency. Our investigation required significant reconstruction of financial records and transactions as well as a Forensic Technology investigation to access and examine large volumes of data.
- Part of a multi-disciplinary team engaged to investigate a claim of overcharging fees for a \$1.2 billion de-merger project in the financial services industry by an advisory firm. The engagement involved performing a review of project managers' and relevant employees' documents and emails, as well as categorising project related invoices to determine any discrepancies in fixed-fee and purchase order requests.
- Conducted a review of the investigation processes of an Australian oil and gas company. The engagement included understanding and evaluating their approach to triaging and conducting investigations, as well as providing feedback on a recent investigation undertaken as to whether it was appropriate, competent and compliant with their existing guidelines.
- Assisted with multiple concurrent investigations into allegations of workplace fraud within a regional Council. The investigation involved numerous interviews with whistleblowers and key personnel and an extensive analysis of the relevant payroll data.
- Seconded to a global oil and gas company to prepare a response to audit reports for the purpose of arbitration proceedings relating to a multi-million dollar joint venture dispute. The engagement involved preparing over 200 individual responses to the calculation discrepancies identified.
- Provided investigation services for a matter that had been raised with an international Transport Minister. A sensitive whistleblower investigation was undertaken, including extensive research to create profiles on the key personnel and numerous interviews with key staff.